

CALIFORNIA STATE POLYTECHNIC UNIVERSITY, POMONA  
ACADEMIC SENATE

FACULTY AFFAIRS COMMITTEE

REPORT TO

THE ACADEMIC SENATE

**FA-007-234**

**Review of Policy 1207**

Faculty Affairs Committee

Date: 01/24/24

Executive Committee  
Received and Forwarded

Date: 04/17/2024

Academic Senate

Date: 04/24/2024  
**First Reading**  
05/08/2024  
**Second Reading**

**Referral**

FA-007-234 Revision of the Misconduct in Research Policy

**Background**

The Office of Research Integrity (ORI) within the US Department of Health and Human Services required a revision of the CPP campus policy to remain in compliance and eligible for federal funds. Revision recommendations from ORI were very specific, and the CPP policy was updated to meet the recommendations. The revisions have been approved by ORI. The currently approved policy is here: [https://www.cpp.edu/academic-manual/1200-1299-research-and-instructional-support/policy\\_1207-misconduct\\_research.pdf](https://www.cpp.edu/academic-manual/1200-1299-research-and-instructional-support/policy_1207-misconduct_research.pdf).)

**Resources** (Recommended)

The Office of Faculty Affairs (*consulted*)

Department Chairs (*consulted*)

Deans and Associate Deans (*consulted*)

**Discussion**

The changes were minor and brings us into compliance with ORI, a requirement for federal funding (i.e. NSF, NIH, USDA, etc). The committee agreed with the changes to the misconduct policy but the policy seemed limited to only those with federal funding. We requested clarification and new language such that all faculty will be held accountable for research misconduct:

“This policy shall apply to all research, scholarship, or creative activity, whether funded extramurally, internally, or unfunded, conducted by administrators, faculty, staff, and students under the auspices of CPP or its auxiliary foundation (Cal Poly Pomona Foundation, Inc.).”

Other items that were updated include defining the title of our institution (changes from “university” to either “Cal Poly Pomona” or “CPP” and updating the title of the office responsible for oversight.

Clarification was requested by one dean who wanted to understand the more nuanced items in the policy (reporting requirements, document retention, etc) with respect to private funding. We clarified with the dean that these changes are in response to ORI and to maintain our qualification for federal funding. Private funding sources are important, however, the task of compiling and incorporating all possible combinations of reporting requirements was not feasible, however, we can address different requirements as they are introduced.

**Recommendation**

The committee unanimously recommends approving this policy.